



戶口服務種類更改書 A/C Type Amendment Form

(僅供現有客戶使用 For Existing Customer Only)

申請日期 Application Date :

A. 客戶資料 Customer Information

戶口號碼 Commodities Account No.:	客戶主任姓名及編號 AE Name & AE Code:
客戶姓名 Customer Name 個人/主要帳戶持有人 Individual/Primary Joint Account Holder:	客戶姓名 Customer Name 聯名帳戶第二持有人 Secondary Joint Account Holder:

B. 增設服務/戶口 Additional Services/Account

<input type="checkbox"/> 網上交易服務 On-Line Trading Service <input type="checkbox"/> 本地期貨 Local Futures <input type="checkbox"/> 外國期貨 Oversea Futures			
我/我們欲使用 網上交易服務 。我/我們已經閱讀並明白附表 1 闡明網上交易協議的條款，並接受這些條款的約束。 I/We want to use the On-Line Trading Services . I/We have read and understood the provisions of the On-Line Trading Agreement as set out in Schedule 1 and accept to be bound by the same.			
個人/主要帳戶持有人簽署 Signature of Individual/Primary Joint Account Holder:		聯名帳戶第二持有人簽署 Signature of Secondary Joint Account Holder:	
日期 Date:		日期 Date:	

<input type="checkbox"/> 增設外國期貨戶口 Additional Foreign Futures Account 請按照期貨交易客戶協議為我/我們(在下面簽名的客戶)開立外國期貨交易帳戶。我/我們已經明白期貨交易客戶協議的條款，並接受這些條款的約束。 Please open an Foreign Futures Account for me/us, the undersigned Customer(s), in accordance with the terms set out in the Futures Client Agreement, which I/We have read and accepted to be bound by the same.			
個人/主要帳戶持有人簽署 Signature of Individual/Primary Joint Account Holder:		聯名帳戶第二持有人簽署 Signature of Secondary Joint Account Holder:	
日期 Date:		日期 Date:	

<input type="checkbox"/> 增設槓桿式外匯交易戶口 Additional FOREX Account 請按照槓桿式外匯交易協議為我/我們(在下面簽名的客戶)開立槓桿式外匯交易帳戶。我/我們已經明白槓桿式外匯交易客戶協議的條款，並接受這些條款的約束。 Please open an Leverage foreign exchange Account for me/us, the undersigned Customer(s), in accordance with the terms set out in the Leveraged foreign exchange Trading Client Agreement, which I/We have read and accepted to be bound by the same.			
個人/主要帳戶持有人簽署 Signature of Individual/Primary Joint Account Holder:		聯名帳戶第二持有人簽署 Signature of Secondary Joint Account Holder:	
日期 Date:		日期 Date:	

關於風險披露聲明及其他商品交易及槓桿式外匯交易附加聲明的確認

Acknowledgement regarding Risk Disclosure Statement and other additional statements for Leveraged foreign exchange trading trading 本公司邀請客戶參閱載於附表 3 的風險披露聲明及免責聲明和其他按照相關法律、規定或其他監管相關市場的交易所規定而提供附加聲明的確認。

- 客戶確認本公司以客戶選擇的語言(英文及中文)提供了其貨客戶協議及其附表。
- 客戶進一步確認本公司已經邀請客戶閱讀風險披露聲明，提出問題及徵求獨立的意見(如客戶有此意願)。

The Company refers the Customer to the Risk Disclosure Statements & Disclaimers in Schedule 3 and any other additional statements provided pursuant to relevant laws, the Rules or the rules of the other exchanges governing the relevant market.

- The Customer acknowledges that the Futures Client Agreement and the Schedules attached were provided in a language (English or Chinese) of the Customer's choice.

The Customer further acknowledges that it was invited to read the Risk Disclosure Statement, ask questions and take independent advice, if the Customer wished.

****客戶聲明 Declaration by Customer (只供個人/聯名戶口使用 For Individual/Joint Account)****

1. 你是否此帳戶的最終實益擁有人? (即你是否為本身而非為第三者運作此帳戶)
Are you the ultimate beneficial owner(s) in relation to the Account? (ie : Are you acting for you own account and not for a third party?)

是 Yes: :

否 No,
姓名: Name : _____

地址 Address: _____

2. 你是否:就三類受規管活動(槓桿式外匯買賣)獲發牌的中介人(持牌法團)的僱員或代理人, 並直接參與該受規管活動?(如是, 請提供你僱員的書面同意)? Are you an employee or agent of : (i) An intermediary (licensed corporation) licensed for Type 3 Regulated Activity (leveraged Foreign Exchange Trading)

是 Yes, 有關的中介人的名稱是 _____

否 No

3. 你是否輝立商品或聯營公司的職員/代理人有親戚關係?
Are you a relative of an employee/agent of Phillip Commodities (HK) Ltd or its associated Companies?

是 Yes, 職員/代理人的名稱 _____

否 No

*****只供槓桿式外匯交易戶口使用 Only For Leveraged Foreign Exchange Trading Client Account Only*****

4. 客戶是否委託授權人運作本戶口有關事宜?
Are you appoint any particular of appointee to operate and deal with all matters in relation to the account?

是 Yes 請填妥委託帳戶授權書 Please complete the "Agreement of Authorized Person" :

否 No

職員/客戶主任聲明 Declaration by Staff/Account Executive (外國期貨或網上交易戶口 only for FF/Online Trading)

我, _____ (註冊人姓名正楷), 確認已經按照客戶選擇的語言英文或中文提供了載於期貨交易客戶附表 3 內的風險披露聲明及免責聲明, 並且我已經邀請客戶閱讀該風險披露聲明, 擬出有關問題及徵求獨立意見(如客戶有此意願)

I, _____ (Print name of registered person) confirm that I have provided the Risk Disclosure Statement & Disclaimers as set out in Schedule 3 in a language (English or Chinese) of the Customer's choice and that I have invited the Customer to read the Risk Disclosure Statement, ask questions and take independent advice, if the Customer wishes.

(請用正楷填寫 Please complete in block letters)

職員/客戶主任簽署 Signature of Staff/AE :		CE 編號 CE No. :	
職員/客戶主任姓名 Name of Staff/AE :		日期 Date :	

職員/客戶主任聲明 Declaration by Staff/Account Executive (槓桿式外匯交易 Only For FX Trading)

我, _____ (註冊人姓名正楷), 確認已經按照客戶選擇的語言英文或中文提供了載於槓桿式外匯交易附表 3 內的風險披露聲明及免責聲明, 並且我已經邀請客戶閱讀該風險披露聲明, 擬出有關問題及徵求獨立意見(如客戶有此意願)

I, _____ (Print name of registered person) confirm that I have provided the Risk Disclosure Statement & Disclaimers as set out in Schedule 3 in a language (English or Chinese) of the Customer's choice and that I have invited the Customer to read the Risk Disclosure Statement, ask questions and take independent advice, if the Customer wishes.

(請用正楷填寫 Please complete in block letters)

職員/客戶主任簽署 Signature of Staff/AE :		CE 編號 CE No. :	
職員/客戶主任姓名 Name of Staff/AE :		日期 Date :	

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批核 Approval		
職員姓名: Name of Staff :	職員簽署: Signature :	職位: Position :